

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2019)

This questionnaire is designed to help National Contact Points fulfil their reporting obligations as described in the Procedural Guidance of the OECD Guidelines for Multinational Enterprises. The answers to the questions should reflect the nature and results of the activities of the NCP¹.

STRUCTURE

This questionnaire comprises 61 questions and 2 annexes under the following headings:

- A. Contact information
 - B. Institutional arrangements
 - C. Information and promotion
 - D. Specific instances
 - E. Peer learning and peer reviews
 - F. Policy coherence
 - G. Challenges
- Annex 1: NCP PROMOTIONAL ACTIVITIES
Annex 2: SPECIFIC INSTANCES

TYPES OF QUESTIONS

1. Questions with predefined answers

For most questions, a pre-defined set of answers are provided in a drop-down menu (e.g. Yes-No-N/A). For each of these questions, please select the appropriate answer in the dropdown menu.

Example:

(b) Promotional activities	
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes/No

2. Open-ended questions

- a) Some questions are open-ended, and in these cases an answer box is provided. Many of these questions are meant to complement a question with predefined answers. Please keep responses precise and short.

Example:

(b) Advisory body	
5. Does the NCP have one or several advisory bodies?	Yes/No

¹ Page 74 of the Procedural Guidance of the OECD Guidelines for Multinational Enterprises: "Reports should contain information on the nature and results of the activities of the NCP, including implementation activities in specific instances".

If the NCP has several advisory bodies, please specify.	Click here to enter text.
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- b) “Additional comments” boxes are situated at the end of each section. Please feel free to enter any additional information you would like to share in these boxes.

Example:

<p><i>Additional comments on Advisory body</i></p> <p>Click here to enter text</p>

TERMS USED IN THE QUESTIONNAIRE

A number of terms are used throughout the questionnaire. Definitions are provided below. Please note that these definitions are exclusively intended to assist in clarifying the terms used in this questionnaire. These terms will be underlined the first time they are used in this questionnaire.

- **Structure of the NCP:** The organisational set up of the NCP. Four NCP structures have been pre-identified, but other arrangements are possible:
 - Single-agency: The NCP is composed of one individual in a single ministry, or by a group of individuals belonging to the same service in the same ministry.
 - Inter-agency: The NCP is composed of a group of representatives from several ministries or government agencies.
 - Expert-based: The NCP is composed of experts who are external to government.
 - Multipartite: The NCP is composed of a group of government officials and stakeholder representatives.
- **Secretariat:** Government officials or group of government officials acting as the permanent office of an NCP.
- **Advisory body:** Some NCPs have an advisory body which can be consulted by the NCP on a range of activities and issues on which it provides advice. Advisory bodies can include representatives from trade unions, NGOs, business and/or academia, along with representatives of other government ministries or agencies. They do not normally form part of the NCP and do not have decision-making power on accepting or concluding specific instances. Some advisory bodies also provide oversight to the NCP (see below).
- **Oversight:** Monitoring of the NCP’s activities performed by an Advisory body, for example on whether the NCP has followed its own rules of procedures.
- **Dedicated budget:** A budget that is allocated to the NCP on a regular basis (e.g. every year) to carry out its functions and activities.
- **Reporting:** In addition to the present report to the OECD, some NCPs provide a regular account of their activities to their national government or parliament. This reporting can take several forms: ongoing through regular reporting channels, or through the presentation of a periodic report, which may be made public.

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- **Stakeholder meeting:** A stakeholder meeting is a meeting organised by the NCP to exchange with stakeholders, including trade unions, NGOs and the business community that are not part of the main body or of the advisory body of the NCP.

REPORTING PERIOD

The reporting period for this questionnaire is from 1 January to 31 December 2019.

SUBMISSION

The questionnaire should be completed by using this word document and sent by email to: RBC@oecd.org copying nicolas.hachez@oecd.org and kathryn.dovey@oecd.org by **24 January 2020**.

Should you have any questions on how to fill this questionnaire, please contact nicolas.hachez@oecd.org.

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2019)

A. CONTACT INFORMATION	
<i>Please provide the contact information of the person filling in the questionnaire.</i>	
Name:	AusNCP Secretariat
Job title:	AusNCP Secretariat
Email:	Secretariat@AusNCP.gov.au
Telephone number:	61 2 6263 2224

B. INSTITUTIONAL ARRANGEMENTS	
(a) Structure, location and composition of the NCP	
1. What is the <u>structure</u> of the NCP?	Single-agency
2. Who are the members of the NCP? <i>Please describe the functions of the members of the NCP and the ministry/organisation they represent. No names are required.</i>	<p>The AusNCP structure is managed by a Senior Official, and supported by a Secretariat, in the Department of the Treasury. The Senior Official is responsible for all decision-making, except for specific instances.</p> <p>In 2019, Specific Instance decision-making was contracted to an individual Independent Examiner (remunerated, part time 20%). This new position also undertakes promotion activities.</p> <p>In 2019, the AusNCP structure also expanded to establish a Governance and Advisory Board, with oversight, advisory and promotional duties.</p>
3. If the NCP is not a <u>single-agency</u> NCP, does it have a <u>secretariat</u> ?	
If yes, in which ministry or government body?	
If yes, in which department of the ministry or government body?	
4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?	No
If yes, please provide the name and dated of this instrument, and a link if available	Click here to enter text.
<i>Additional comments on Structure, location and composition of the NCP</i> In 2019, the AusNCP reforms came into effect, with the inaugural meeting of the new Governance and Advisory Board (April 2019) and the appointment of the inaugural Independent Examiner (Mr John Southalan, August 2019).	
(b) Advisory body	
5. Does the NCP have one or several <u>advisory bodies</u> ? One	
If the NCP has several advisory bodies, please specify.	Yes

If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing <u>oversight</u> , etc.)	Please refer to the Governance and Advisory Board Terms of Reference for details.		
If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)	Please refer to the Governance and Advisory Board Terms of Reference for a full list of members.		
If yes, please indicate how often the advisory body(ies) meets	Twice a year, minimum		
6. If an advisory body provides <u>oversight</u> , please describe the oversight procedure	Please refer to the Governance and Advisory Board Terms of Reference for oversight duties, including procedural reviews.		
<p><i>Additional comments on Advisory body:</i> Click here to enter text.</p>			
(c) Human and financial resources			
7. Does the NCP have dedicated full-time staff? <i>If yes, please indicate the number.</i>	Yes		1
8. Does the NCP have dedicated part-time staff? <i>If yes, please indicate in the second box the number of part-time staff members and in the third box the percentage of time spent on NCP matters for each part-time staff member.</i>	Yes	3	Secretariat Manager: 50% Secretariat officer: 80% Senior official: 10%
9. Have any full-time or part-time staff members joined the secretariat/support office during the year? <i>If yes, please indicate how many.</i>	Yes, both part-time and full-time staff members		4
10. Have any full-time or part-time staff members left the NCP during the year? <i>If yes, please indicate how many.</i>	Yes, both part-time and full-time staff members		3
11. Did the NCP have a <u>dedicated budget</u> this year?	Yes		
If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP?	Choose an item.		
If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP?	Choose an item.		
12. During the year, did the human and financial resources available to the NCP allow it to:			
Handle specific instances in an efficient and timely manner	Yes		
Organise promotional events	Yes		
Attend NCP meetings at the OECD	Yes		
Attend events organised by other NCPs	Yes – peer review participant		
Attend events organised by stakeholders	Yes		
Cover professional mediator fees or in-house mediator fees	Yes		
Conduct fact-finding research into specific instances	Yes		

If you answered no to any of the above, please specify	The AusNCP did not mediate any complaints in 2019, but organised a facilitated discussion (that did not eventuate) between parties to a complaint.
<p><i>Additional comments on Human and financial resources:</i></p> <p>Please see comments on structure at B.2.</p> <p>At the end of 2019, Ministry* staff comprised of: X1 Senior Executive official (part-time) X1 Communication and Event Coordinator (new role, part time) X1 Secretariat Manager (part time) X2 Secretariat Officers (one full time, one part time)</p> <p>*Excludes the Independent Examiner (counted as 'Yes' in professional/in-house mediator fees).</p>	
(d) Reporting	
13. Does the NCP <u>report</u> to the government on its activities?	Yes
If yes, how often?	On an adhoc basis
14. Does the NCP report to parliament on its activities?	Yes
If yes, how often?	As required, through our Senate Estimates processes, conducted three times annually.
15. Please indicate any other relevant transparency requirement applicable to the NCP in your country	
<p><i>Additional comments on Reporting:</i></p> <p>The AusNCP also normally reports its activities in the Department of the Treasury Annual Report and in an AusNCP Annual Outlook publication on its website.</p>	

C. INFORMATION AND PROMOTION		
(a) NCP website²		
16. Does the NCP have a website? If yes, please provide the link in the second box.	Yes	https://ausncp.gov.au/
If yes, was the website created/renovated this year?	Yes	
17. Are the following items available on the NCP website?		
Information about the Guidelines and the role of the NCP		
The text of the Guidelines	Yes	
A description of the Guidelines	Yes	
The OECD Due Diligence Guidance Documents	Yes	

Explanatory text about due diligence	No
Information on the NCP and its mandate	Yes
The NCP Annual Report submitted to the OECD	Yes
The NCP's Report to government and/or parliament (if applicable)	N/A
The NCP's peer review report (if applicable)	N/A
Information about specific instances	
Information on how to submit a specific instance	Yes
An online form to submit a specific instance	Yes
The NCP's rules of procedures	Yes
All final statements since 2011	Yes
Information on promotional activities	
The NCP's promotional plan	No
Information on upcoming events promoting the Guidelines	No
Information on past events promoting the Guidelines	No
Contact information	
Information on how to make an enquiry to the NCP	Yes
A phone number to reach the NCP directly	Yes
An email address to reach the NCP directly	Yes
<p><i>Additional comments on NCP website:</i> The AusNCP publishes the AusNCP Annual Outlook on its website. The Outlook is a new initiative, first published in 2019, to share information about the AusNCP's past and future activities. The 2020 Outlook will be published in the first half of 2020.</p>	
The (b) Promotional activities³	
18. Does the NCP have a promotional plan for the coming year(s)?	Yes
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes
20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? <i>If yes, please provide details in table 2 in Annex 1.</i>	Yes
21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?	No, although Board members used their own platforms to promote key reform announcements.
22. Did the NCP hold a <u>stakeholder meeting</u> during the year?	Yes
23. Did the NCP promote the Guidelines among the business community during the year?	Yes

³ Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?	Yes
25. Did the NCP promote the Guidelines among NGOs during the year?	Yes
26. Did the NCP promote the Guidelines among trade unions during the year?	No
27. Did the NCP promote the Guidelines among government agencies during the year?	Yes
28. Did the NCP promote the Guidelines among embassies abroad during the year?	Yes
29. Did the NCP promote the Guidelines to investment promotion agencies during the year?	Yes
30. Did the NCP focus on any of the following during promotional activities during the year:	
OECD Due Diligence Guidance for Responsible Business Conduct	Yes
OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector	No
Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors	Yes
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	Yes
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	Yes
OECD-FAO Guidance for Responsible Agricultural Supply Chains	No
<p><i>Additional comments on the section Promotional activities:</i></p> <p>Click here to enter text.</p>	

D. SPECIFIC INSTANCES		
(a) NCP rules of procedure for handling specific instances		
31. Does the NCP have rules of procedure describing the handling of specific instances?	Yes	
32. Are the rules of procedure available online? <i>If yes, please provide a link in the second box. If no, please attach a copy of the rules of procedure to this questionnaire</i>	Yes	https://ausncp.gov.au/complaints/ausncp-procedures
33. Were the NCP's rules of procedure modified this year?	Yes	

<p><i>Additional comments on the section Rules of procedure:</i></p> <p>The AusNCP procedures were updated twice in 2019 following public consultation processes. Submissions received during this process are available for viewing on the AusNCP website.</p>	
(b) Specific instance practicalities	
34. Does the NCP confirm receipt of a specific instance submission?	Yes
35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance?	Yes
36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?	Yes
37. Did the NCP engage professional mediators during the year?	Yes
38. Did the NCP staff or members conduct mediation this year?	No
<p><i>Additional comments on the section Specific instance practicalities:</i></p> <p>Good offices were offered in complaint 16, but declined by the enterprise.</p>	
(c) Reporting specific instances	
39. Did the NCP receive new specific instance submissions during the year? <i>If yes, please also provide details in Annex 2</i>	Yes
40. Did the NCP close specific instances during the year? <i>If yes, please also provide details in Annex 2</i>	Yes
41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the calendar year? <i>If yes, please also provide details in Annex 2</i>	No
42. Did the NCP follow up on a case during the year? <i>If yes, please also provide details in Annex 2</i>	Yes
43. Is the OECD case database accurate and up to date with regard to cases handled by the NCP?	No

*Additional comments on the section **Reporting specific instances**:*

G4S is missing, but has appeared in the database in the past.

https://ausncp.gov.au/sites/default/files/inline-files/G4S_aus.pdf

E. PEER LEARNING AND PEER REVIEWS	
44. Did the NCP take part in the following activities with other NCPs during the year:	
Host a peer learning activity	No
Participate in peer learning activities hosted by other NCPs	N/A: The NCP was not invited to such an activity this year
Co-operate with other NCPs in handling specific instances	Yes
Provide mentoring/capacity building to another NCP	Yes
45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2020?	Yes
46. Which topic would the NCP consider to be a priority to cover in a peer learning event?	The AusNCP would be interested in: (1) participating in an event that explores different NCP approaches to handling complaints that are submitted to multiple complaint forums. (2) hosting an event that explores the merits of different NCP structures.
47. Is the NCP interested in participating in developing tools for use by NCPs?	Yes
48. Is the NCP interested in acting as a peer reviewer in the future?	Yes
If yes, please specify the semester and the year	Semester 1 or 2 in 2022
<p><i>Additional comments on the section Peer-learning and peer reviews:</i></p> <p>The AusNCP has been approached and also offered advice to a number of NCPs in relation to structures and our recent reforms.</p>	

F. POLICY COHERENCE⁴

⁴ Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: "Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specific agency's policies and programmes [...]."

49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)	Yes	
If yes, please provide a short summary and a link to the legislation. If a link is not available, please attach a copy of the legislation to this questionnaire.	Modern Slavery Legislation summary - https://www.homeaffairs.gov.au/about-us/our-portfolios/criminal-justice/people-smuggling-human-trafficking/modern-slavery Link to legislation - https://www.legislation.gov.au/Details/C2018A00153	
50. Did your country adopt a National Action Plan (NAP) this year? If yes, please indicate which type of NAP	No	Choose an item.
If yes, please provide a link	Click to enter text.	
If yes, does the NAP make reference to the Guidelines?	No	
If yes, does the NAP make reference to the NCP?	No	
51. Was a NAP in development this year? If yes, please indicate which NAP.	No	Choose an item.
If yes, did the NCP participate in the development of the National Action Plan?	No	
52. Did the NCP communicate public statements on specific instances to officials responsible for trade missions?	Yes	
53. Did the NCP communicate public statements on specific instances to officials responsible for foreign trade and investment incentives?	Yes	
54. Did the NCP communicate public statements on specific instances to public procurement officials?	No	
55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?	No	
If yes, please provide a link to the legislation. If a link is not available, please attach a copy of the legislation to this questionnaire.	Click to enter text.	
56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities?	Yes	
57. Were public procurement officials involved in any of your training/outreach activities?	No	
58. What tools or projects does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?	The AusNCP is currently consulting with the Australian Department of Finance regarding new OECD initiatives on public procurement .	
59. Please flag any specific initiatives/good practice involving public procurement and RBC in your country.	Australian Modern Slavery Act and related events promoting supply chain awareness.	
60. Please indicate any other examples of policy coherence activities.	Voluntary Principles Initiative, Financial Sector Commission, Trusted Trader Initiative, Bali Process, UN Human Rights Council, input to trade agreements.	

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*Additional comments on **Policy Coherence**:*
Click here to enter text.

G. CHALLENGES

61. Has the NCP faced any particular challenge(s) it would like to highlight this year?

Yes

*Additional comments on **Challenges**:*

Complainants who have previously used another NCP process and not received their desired outcome, have sought to use the AusNCP to prosecute their claims.

Annex 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).
Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event.
Please add additional lines if needed.

Table 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP							
Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
Working lunch - Introducing Due Diligence and National Contact Points for Responsible Business Conduct	12/06/2019	UN Conference Centre, Bangkok	Other	50-100	Co-organised	Delegates at Bangkok Business and Human Rights Week events in June 2019	NCP activities on sector due diligence
Meeting with Australia-Thailand Chamber of Commerce	10/06/2019	Bangkok	Other	1	Organised	Business representatives	Role of the AusNCP in promoting OECD Guidelines, AusNCP complaints mechanism, engagement with Australian businesses abroad

Total number=

2

Table 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others								
Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc</i>	Organiser(s)	Type of intervention	Theme of the intervention
Australian Chamber of Commerce in South Korea	19/12/2019	Seoul	Bilateral meeting	2	Industry representative	AusNCP	Awareness raising	Role of the AusNCP in promoting OECD Guidelines, role of the Board, complaints mechanism, relevant sectors
Business and Human Rights - Global Developments and Lessons for Australia	11/12/2019	Melbourne	Conference	>100	Business representatives, NGOs, Trade unions, Academia, Government representatives	UN Environment Programme Finance Initiative and the Principles for Responsible Investment network	Panel participation	Role of the AusNCP in promoting OECD Guidelines, AusNCP complaints mechanism
Department of Home Affairs Industry Summit	19/11/2019	Melbourne	Conference	>100	Business representatives	Department of Home Affairs	Promotional booth	General information provided to businesses on their responsibilities under the OECD Guidelines and the NCP complaints mechanism
Australian Dialogue on Business and Human Rights 2019	17/10/2019	Melbourne	Conference	50-100	Business representatives, NGOs, Trade unions, Academia, Government representatives	Australian Human Rights Commission	Presentation to audience members introducing the AusNCP	Role of the AusNCP in promoting OECD Guidelines, AusNCP complaints mechanism
Responsible Investment Association Australasia	22/08/2019	Canberra	Conference call	50-100	Institutional investors	RIAA	Presentation to audience members introducing the AusNCP	Role of the AusNCP in promoting OECD Guidelines, AusNCP complaints

(RIAA) Human Rights Working Group								mechanism, examples linking finance sector to OECD Guidelines
UN Global Compact (UNGC)-Global Reporting Initiative (GRI) Sustainability Summit 2019	20-21/08/19	Manila	Conference	50-100	Business representatives, NGOs, Government, Investors	UNGC	Panel presentations to audience members introducing the AusNCP	Role of the AusNCP in promoting OECD Guidelines, AusNCP complaints mechanism, examples linking finance sector to OECD Guidelines
ASEAN Intergovernmental Commission on Human Rights (AICHR) Interregional Dialogue – Panel on UNGPs and the role of Institutional Investors	11/06/2019	Bangkok	Conference	50-100	Business representatives, NGOs, Government, Investors	AICHR	Panel presentation	Relevance of OECD Guidelines to Institutional investors
OECD Responsible Business and Human Rights Forum – panel on due diligence in project finance	12/06/2019	Bangkok	Conference	50-100	Business representatives, NGOs, Government, Investors	OECD	Panel presentation	Relevance of OECD Guidelines to financial services sector
OECD Responsible Business and Human Rights Forum – panel on Accountability and access to remedy	13/06/2019	Bangkok	Conference	50-100	Business representatives, NGOs, Government, Investors	OECD	Panel presentation	Outcomes of NCP processes, NCP role in facilitating remedy
ASEAN Institutional Investors Forum – panel on Undertaking due diligence for responsible business	14/06/2019	Bangkok	Conference	50-100	Business representatives, NGOs, Government, Investors	ASEAN Secretariat, Stock Exchange of Thailand	Panel presentation	Relevance of OECD Guidelines to Institutional investors

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conduct across investment portfolios								
Various activities to promote the AusNCP reforms and introduce the new Independent Examiner (not counted)	08-12/2019	Australia	Various	Various	Academia and NGOs	AusNCP Independent Examiner	Various sideline discussions, interventions or references in academic papers.	Disclosure of new position in context with other professional interests.

Total number=	10
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Annex 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and found to merit further examination after the initial assessment, and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed. We encourage all NCPs to inform the Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the Secretariat, please complete and send this [form](#) to Alison.holm@oecd.org and Kathryn.dovey@oecd.org⁵.

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received (dd/mm/yyyy)	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.
Total number=		0		

⁵ According to paragraph 42 of the Commentary on the Implementation Procedures of the OEDC Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

For all specific instances that were CONCLUDED during the year:

Title	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Link to OECD database if available	Outcomes achieved	Does the final statement plan for follow up?	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Mr Yacouba Traoré on behalf of the Former Employees Collective of the Australian Laboratory Services Mali SARL Laboratory Group and Australian Laboratory Services	11 May 2015	March 2019	http://mneguidelines.oecd.org/database/instances/au0011.htm - 13 Feb 2017	Agreement reached between the parties outside the NCP process	Follow-up planned for the future	No
National Justice Project for Australian Women Without Borders on behalf of an affected individual and Mercer	27 Oct 2016	July 2019	http://mneguidelines.oecd.org/database/instances/au0013.htm - 27 Oct 2016	Change in company policy/procedure	Follow-up planned for the future	No

Total number= 2

For all specific instances that were NOT ACCEPTED during the year:

Title	Date received <i>(dd/mm/yyyy)</i>	Link to OECD database if available	Reasons for not accepting the specific instance	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
IUF on behalf of affected individuals and Coca Cola Amatil	20 June 2019	http://mneguidelines.oecd.org/database/instances/au0015.htm - 20 Jun 2019	Consideration of the specific issue would not contribute to the purposes and effectiveness of the Guidelines.	The AusNCP sought advice from the US National Contact Point in developing its initial assessment.
Mr Robert Palin and Deutsche Bank	14 July 2019	http://mneguidelines.oecd.org/database/instances/au0014.htm - 14 Jul 2019	There was no link between the enterprise's activities and the issue raised in the specific instance.	The AusNCP consulted with the US NCP, the German NCP and the Czech NCP, particularly regarding the scope of the Guidelines and discussed the possibility of transferring the case.

Total number=

2

For all specific instances for which FOLLOW UP was performed during the year:

Title	Date concluded <i>(dd/mm/yyyy)</i>	Date of follow up <i>(dd/mm/yyyy)</i>	Was a public follow up statement issued?	Link to the follow up statement, if applicable
Inclusive Development International and Equitable Cambodia and ANZ	June 2018	Ongoing	Other	To be published at the conclusion of the follow up process

Total number=

1

END OF QUESTIONNAIRE