

Message from the AusNCP Secretariat

It is our pleasure to share with you the AusNCP's annual questionnaire response, provided to the OECD for inclusion in the [OECD NCP Annual Report](#).

2021 was a busy year for the AusNCP and responsible business conduct. Our **OECD peer review** took place in September 2021. Thank you to the peer reviewers – the OECD Secretariat, and the NCPs of Sweden, New Zealand and Italy – as well as all the stakeholders participating in the process. The peer review report is available [here](#). The recommendations will guide our work over coming years, including a revised and expanded engagement strategy to improve awareness of the Guidelines, the AusNCP and enhancements to complaint handling procedures.

The AusNCP also saw a marked increase in new complaints, with eight received in the 2021 calendar year. In response to this increase, additional Independent Examiners have been appointed to expand our case handling capacity.

We welcome **Ms Shanta Martin** and **Ms Shiv Martin** as [Independent Examiners](#), alongside **Mr John Southalan**, whose term has been extended.

The AusNCP has revised its complaint handling [procedures](#) to reflect the appointment of additional Independent Examiners, and revised the [Terms of Reference](#) for our Governance and Advisory Board, to include a more comprehensive conflicts of interest policy.

The **OECD Guidelines for Multinational Enterprises** remain one of the world's leading standards to support businesses to understand their social responsibilities and shape their conduct accordingly.

In 2021, the AusNCP was pleased to support the OECD Secretariat's work to conduct a **stocktaking of the Guidelines**. Thank you to stakeholders who participated in our roundtable in October 2021, the outcomes of which were referred to in the OECD's publicly released [stocktaking report](#).

In 2022, the AusNCP is engaged in the OECD-led process to update the Guidelines, a one in ten-year opportunity to ensure they remain fit for purpose and reflect contemporary RBC standards.

We extend our gratitude to our Independent Examiners and the Board for their professionalism and contributions to the AusNCP's work.

The AusNCP welcomes any invitations to discuss the Guidelines and NCP services with your organisation or sector.

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2021

Questionnaire – for reference only

This document may be used as a reference to complete the questionnaire online.

Guidance and instructions on how to complete the questionnaire online are available on the NCP community site [here](#).

STRUCTURE

- A. Contact information
- B. Institutional arrangements
- C. Information and promotion
- D. Specific instances
- E. Peer learning and peer reviews
- F. Policy coherence
- G. Challenges

Annex: NCP promotional activities and Specific instances*

Additional Materials (optional)**

* Completed in a word document and uploaded at the end of the questionnaire (see section **INSTRUCTIONS** in the Guidance and instructions [here](#))

** Additional materials, such as those prompted in certain questions during the online questionnaire (ex: rules of procedure, legislations) or other relevant documents the NCP may wish to share with the Secretariat (ex: flyers or other promotional materials), may be uploaded on the last page of the online questionnaire.

NCP Annual Reporting Questionnaire 2021

For reference only: the questionnaire must be completed online

(see guidance and instructions [here](#))

A. CONTACT INFORMATION	
<i>Please provide the contact information of the person filling in the questionnaire.</i>	
Name:	Carmela Magnocavallo and Laura Llewellyn
Job title:	AusNCP Secretariat
Email:	Secretariat@AusNCP.gov.au
Telephone number:	61 2 6263 2224
B. INSTITUTIONAL ARRANGEMENTS	
(a) Structure, location and composition of the NCP	
1. What is the <u>structure of the NCP</u> ?	Single-agency
2. Who are the members of the NCP? <i>Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.</i>	<p>The AusNCP structure is managed by a Senior Official, and supported by a Secretariat, in the Australian Department of the Treasury. The Senior Official is responsible for all decision-making, except for complaints (specific instances).</p> <p>In 2021, decision-making and management of complaints was contracted to two individual Independent Examiners (remunerated, part time). The Independent Examiner position established in 2019, also undertakes promotion activities and is supported by the Secretariat.</p> <p>The AusNCP structure also includes a Governance and Advisory Board consisting of government and non-government members, with oversight, advisory and promotional duties.</p>
3. If the NCP is not a <u>single-agency NCP</u> , does it have a <u>secretariat</u> ?	Yes
If yes, in which ministry or agency?	Department of the Treasury
If yes, in which department of the ministry or agency?	Market Conduct Division
4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?	No
If yes, please provide the name and date of this instrument, and a link if available	Click here to enter text.
<p><i>Additional comments on Structure, location and composition of the NCP</i></p> <p>Click here to enter text.</p>	

(b) Advisory body	
5. Does the NCP have one or several <u>advisory bodies</u> ? One	Yes
If the NCP has several advisory bodies, please specify.	Click here to enter text.
If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing oversight, etc.)	Please refer to the AusNCP Governance and Advisory Board Terms of Reference for details.
If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)	Please refer to the AusNCP Governance and Advisory Board Terms of Reference for a full list of members.
If yes, please indicate how often the advisory body(ies) meets	Twice a year
6. If an advisory body provides oversight, please describe the oversight procedure	Yes, the body provides oversight on the management of complaints, including consultation on draft statements and procedural reviews, if required. Please refer to the AusNCP Governance and Advisory Board Terms of Reference for further details.
<i>Additional comments on Advisory body:</i> Click here to enter text.	
(c) Human and financial resources	
7. Does the NCP have dedicated full-time staff? <i>If yes, please indicate the number.</i>	Yes 2
8. Does the NCP have dedicated part-time staff? <i>If yes, please indicate in the second box the number of part-time staff members and in the third box the percentage of time spent on NCP matters for each part-time staff member.</i>	Yes 3 Senior Executive Officer: 10% Secretariat Director: 0.67, 100% of that time spent on NCP Secretariat Assistant Director: 0.8, 100% of that time spent on NCP
9. Have any full-time or part-time staff members joined the secretariat during the year? <i>If yes, please indicate how many.</i>	No Click here to enter text.
10. Have any full-time or part-time staff members left the NCP during the year? <i>If yes, please indicate how many.</i>	Yes, part-time staff member(s) 1
11. Did the NCP have a <u>dedicated budget</u> this year?	Yes
If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP?	Choose an item.
If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP?	Choose an item.

12. During the year, did the human and financial resources available to the NCP allow it to:	
Handle specific instances in an efficient and timely manner	Yes
Organise promotional events	Yes
Attend NCP meetings at the OECD	Yes
Attend events organised by other NCPs	N/A: The NCP was not invited to/chose not to attend such events
Attend events organised by stakeholders	Yes
Cover professional mediator fees or in-house mediator fees	Yes
Conduct fact-finding research into specific instances	Yes
If you answered no to any of the above, please specify	Click here to enter text.
<i>Additional comments on Human and financial resources:</i> Please see comments on structure at A.2. At the end of 2021, Ministry* staff comprised of: X1 Senior Executive official (part-time) X1 Communication and Event Coordinator (full time) X1 Secretariat Director (part time) X1 Secretariat Assistant Directors (one full time) *Excludes the Independent Examiners (counted as 'Yes' in professional/in-house mediator fees).	
(d) Reporting	
13. Does the NCP <u>report</u> to the executive on its activities?	Yes
If yes, how often?	On an ongoing basis
14. Does the NCP report to the legislative body on its activities?	Yes
If yes, how often?	Three times a year
15. Please indicate any other relevant transparency requirement (e.g. access to information or disclosure laws) applicable to the NCP in your country	Freedom of Information
<i>Additional comments on Reporting:</i> Information about the AusNCP is also provided in the Treasury's Annual Report and Annual Budget Statement.	

C. INFORMATION AND PROMOTION	
(a) NCP website¹	
16. Does the NCP have a website? If yes, please provide the link in the second box.	Yes https://ausncp.gov.au/
If yes, was the website created/renovated this year?	Yes
17. Are the following items available on the NCP website?	
Information about the Guidelines and the role of the NCP	

¹ This includes dedicated NCP webpages as part of the Ministry's website.

The text of the Guidelines	Yes
A description of the Guidelines	Yes
The OECD Due Diligence Guidance Documents	Yes
Explanatory text about due diligence	No
Information on the NCP and its mandate	Yes
The NCP Annual Report submitted to the OECD	Yes
The NCP's Report to the executive and/or legislative (if applicable)	Yes
The NCP's peer review report (if applicable)	N/A: The NCP does not have a peer review report
Information about specific instances	
Information on how to submit a specific instance	Yes
An online form to submit a specific instance	Yes
The NCP's rules of procedure	Yes
All final statements since 2011	Yes
Information on promotional activities	
The NCP's promotional plan	No
Information on upcoming events promoting the Guidelines	Yes
Information on past events promoting the Guidelines	Yes
Contact information	
Information on how to make an enquiry to the NCP	Yes
A phone number to reach the NCP directly	Yes
An email address to reach the NCP directly	Yes
<p><i>Additional comments on NCP website:</i> Click here to enter text.</p>	
(b) Promotional activities²	
18. Does the NCP have a promotional plan for the coming year(s)?	Yes
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes
20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? <i>If yes, please provide details in table 2 in Annex 1.</i>	Yes
21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?	No
22. Did the NCP hold a <u>stakeholder meeting</u> during the year?	Yes

² Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

23. Did the NCP promote the Guidelines among the business community during the year?	Yes
24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?	Yes
25. Did the NCP promote the Guidelines among NGOs during the year?	Yes
26. Did the NCP promote the Guidelines among trade unions during the year?	Yes
27. Did the NCP promote the Guidelines among government agencies during the year?	Yes
28. Did the NCP promote the Guidelines among embassies abroad during the year?	No
29. Did the NCP promote the Guidelines to investment promotion agencies during the year?	Yes
30. Did the NCP focus on any of the following during promotional activities during the year:	
OECD Due Diligence Guidance for Responsible Business Conduct	Yes
OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector	No
Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors	No
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	No
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	No
OECD-FAO Guidance for Responsible Agricultural Supply Chains	No
<p><i>Additional comments on the section Promotional activities:</i> Click here to enter text.</p>	

D. SPECIFIC INSTANCES	
(a) NCP rules of procedure for handling specific instances	
31. Does the NCP have rules of procedure describing the handling of specific instances?	Yes
32. Are the rules of procedure available online? <i>If yes, please provide a link in the second box. If no, please upload a copy of the rules of procedure in the Additional Materials section at the end of the online questionnaire</i>	Yes https://ausncp.gov.au/complaints/ausncp-procedures

33. Were the NCP's rules of procedure modified this year?	No
<p><i>Additional comments on the section</i> Rules of procedure: Click here to enter text.</p>	
(b) Specific instance practicalities	
34. Does the NCP confirm receipt of a specific instance submission?	Yes
35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance?	Yes
36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?	Yes
37. Did the NCP engage professional mediators during the year?	Yes
38. Did the NCP staff or members conduct mediation this year?	Yes
If yes, or no: was mediation conducted by an external mediator?	<i>Mediation was conducted by an Independent Examiner, who is an NCP member and external contracted mediator.</i>
<p><i>Additional comments on the section</i> Specific instance practicalities: Click here to enter text.</p>	
(c) Reporting specific instances	
39. Did the NCP receive new specific instance submissions during the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i>	Yes
40. Did the NCP close specific instances during the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i>	Yes
41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i>	Yes

<p>42. Did the NCP follow up on a case during the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i></p>	No
<p>43. Is the OECD case database accurate and up to date with regard to cases handled by the NCP? If not, please provide details (missing cases, out of date entries, broken links, etc.) in the additional comments section below.</p>	No
<p><i>Additional comments on the section Reporting specific instances:</i> 43. Cases missing from the OECD case database include:</p> <ul style="list-style-type: none"> - Complaint received - Global Legal Action network and Anglo American Plc, BHP Group Ltd and Glencore International AG - Final Statement published, Complaint closed - Friends of the Earth, Egan, Dodds and Simons and ANZ Banking Group - Complaint received - Project Sepik and Jubilee Australia Research Centre on behalf of affected Sepik River communities and PanAust Limited - Complaint received - Marque Lawyers on behalf of affected individuals and Qatar Airways 	

E. PEER LEARNING AND PEER REVIEWS	
44. Did the NCP take part in the following activities with other NCPs during the year:	
Host a peer learning activity	No
Participate in peer learning activities hosted by other NCPs	<i>N/A: The NCP was not invited to such an activity this year</i>
Co-operate with other NCPs in handling specific instances	Yes
Provide mentoring/capacity building to another NCP	No
45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2022?	No
46. Which topic would the NCP consider to be a priority to cover in a peer learning event?	Click here to enter text.
47. Is the NCP interested in participating in developing tools for use by NCPs?	Yes
48. Is the NCP interested in acting as a peer reviewer in the future?	Yes
If yes, please specify the semester and the year	Click here to enter text.

*Additional comments on the section **Peer-learning and peer reviews**:*
Click here to enter text.

F. POLICY COHERENCE ³		
49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? <i>(e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)</i>	No	
If yes, please provide a short summary and a link to the legislation. <i>If a link is not available, please upload a copy of the legislation in the Additional Materials section at the end of the online questionnaire</i>	Click here to enter text.	
50. Did your country adopt a National Action Plan (NAP) this year? <i>If yes, please indicate which type of NAP.</i>	No	N/A
If yes, please provide a link.	Click here to enter text.	
If yes, does the NAP make reference to the Guidelines?	Choose an item.	
If yes, does the NAP make reference to the NCP?	Choose an item.	
51. Was a NAP in development this year? <i>If yes, please indicate which NAP.</i>	No	Choose an item.
If yes, did the NCP participate in the development of the National Action Plan?	Choose an item.	
52. Did the NCP inform officials responsible for trade missions of its relevant statements and reports?	Yes	
53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports?	Yes	
54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports?	Yes	
55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?	No	
If yes, please provide a link to the legislation. <i>If a link is not available, please upload a copy of the legislation in the Additional Materials section at the end of the online questionnaire</i>	Click to enter text.	
56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities?	Yes	

³ Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: "Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specific agency's policies and programmes [...]."

57. Were public procurement officials involved in any of your training/outreach activities?	No
58. What tools or activities does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?	Policy dialogue between relevant agencies
59. Please identify any specific initiatives/good practices involving public procurement and RBC in your country.	Commonwealth Procurement Rules December 2020
60. Please include any other examples of policy coherence activities.	The AusNCP routinely provides input to various RBC-related policy initiatives and dialogues across Government, including via members of its Governance and Advisory Board. Refer AusNCP content submitted in WPRBC paper November 2021: DAF/INV/RBC/RD(2021)8/REV1
<p><i>Additional comments on Policy Coherence:</i> Click here to enter text.</p>	

G. CHALLENGES	
61. Has the NCP faced any particular challenge(s) it would like to highlight this year?	Click here to enter text.
<p><i>Additional comments on Challenges:</i> The AusNCP has experienced a significant increase in complaints received (more than doubled) and is expanding its resourcing and staffing accordingly.</p>	

Annex: NCP Promotional activities (Part 1) & Specific instances (Part 2)

Detailed information on NCP promotional activities (Annex Part 1) and on specific instances (Annex Part 2) should be provided by first following these three steps:

1. **Download** the **NCP Reporting Questionnaire 2021 Annex** word document available [here](#) on the NCP Community Site.
2. **Fill in** the document and save it locally on your computer.
3. **Upload** the document at the end of the questionnaire (after question 61) by clicking on the "**Upload files**" button when prompted on the last page of the online questionnaire (Section Annex - NCP Promotional activities & Specific Instances).

Please note that only **Word files** (.doc or .docx) can be uploaded.

Additional Materials

Additional materials, such as those prompted in certain questions during the online questionnaire (ex: rules of procedure, legislations) or other relevant documents the NCP may wish to share with the Secretariat (ex: flyers or other promotional documents), may be uploaded on the last page of the online questionnaire.

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2021

Annex

This document is to provide detailed information on **NCP promotional activities (Part 1 below)** and on **specific instances (Part 2 below)**.

INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section “**Annex: NCP Promotional activities & Specific Instances**” (after question 61).

Please note that only **Word files** (.doc or .docx) can be uploaded.

Guidance and instructions on how to complete the questionnaire ([here](#)) and the annex ([here](#)) are accessible on the NCP O.N.E Community Space.

HELP & ASSISTANCE

Please contact jean-francois.leruste@oecd.org and emily.halstead@oecd.org for any help or assistance to complete the questionnaire.

STRUCTURE

Part 1: NCP PROMOTIONAL ACTIVITIES

Part 2: SPECIFIC INSTANCES

Please enter country name of NCP:

PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2). Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event. Please add additional lines if needed.

TABLE 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
Meeting with Global Compact Network	23-Mar-2021	Online	Meeting	<10	Co-organised	Business	AusNCP and OECD Guidelines
Meeting with Australian Ambassador for People Smuggling and Human Trafficking	17-Jun-2021	Canberra	Meeting	<10	Co-organised	International government	Opportunities for cross-promotion activities
Meeting with Australian Department of Industry, Science, Energy and Resources	5-Aug-2021	Online	Meeting	<10	Organised	Government representatives	AusNCP and OECD Guidelines
Roundtable on OECD Guidelines stocktaking exercise (advertised on AusNCP website)	19-Aug-2021	Online	Meeting	<10	Organised	Business, NGO, Government	Australian perspectives on priorities for stocktake
Law Council of Australia webinar	22-Oct-2021	Online	Webinar	50-100	Organised	Legal professionals	AusNCP procedures and complaint handlines

Additional comments:

Total number of events:

5

TABLE 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Organiser(s)	Theme of the intervention
International Bar Association on Mining & Human Rights	11-Feb-2021	Online	Webinar	>100	Lawyers	University of Western Australia Law School	AusNCP and OECD Guidelines
Dundee University presentation	10-Mar-2021	Online	Other	50-100	Academia	Dundee University	OECD Guidelines
Practical Human Rights Governance interview	18-May-2021	Online	Other	50-100	Academia	Curtin University	OECD Guidelines
2021 Asia Pacific Forum	1-June-2021 - 4-June-2021	Online	Webinar	>100	NGOs	UN Responsible Business and Human Rights	AusNCP and OECD Guidelines
Finance Against Slavery and Trafficking- The Podcast Episode 10 – Remedy	26-Jul-2021	Online	Other	>100	Lawyers, Business (Financial Sector), NGOs	Leichtenstein Initiative Finance Against Slavery and Trafficking	AusNCP and OECD Guidelines as a form of remedy, focus on ANZ Cambodia case
Diplomacy Training Course - Online Capacity Building Program	12-Aug-2021	Online	Academic course	50-100	Academia and Human Rights Defenders	University of New South Wales	NCPs and OECD Guidelines
OECD Expert Consultation on Remedy in the Tech Sector	23 Sep-2021	Online	Presentation	>100	Multi-stakeholder, Tech sector	OECD	Challenges and opportunities of the NCP model to provide access to remedy in the tech sector

Additional comments:

Total number of events:

7

PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](mailto:ncp-specific-instances@oecd.org) to ncp-specific-instances@oecd.org¹.

¹ According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received <i>(dd/mm/yyyy)</i>	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Human Rights Law Centre on behalf of affected individuals and Rio Tinto	28-Sep-2020	No	http://mneguidelines.oecd.org/databases/instances/au0017.htm	Click here to enter text.
Global Legal Action network and Anglo American Plc, BHP Group Ltd and Glencore International AG	01-Jan-2021	Yes	Click here to enter text.	Yes. Extensive coordination with Colombia, Ireland, Switzerland, UK NCPs.
Parella Law on behalf of an affected individual and an Australian-based enterprise (property and infrastructure sector)	20-Aug-2021	Yes	http://mneguidelines.oecd.org/databases/instances/au0020.htm	Yes. Consultation and engagement with US NCP.
Justicia y Reparación on behalf of affected individuals and an Australian-based enterprise (mining sector)	03-Sep-2021	No	http://mneguidelines.oecd.org/databases/instances/au0021.htm	Yes. Consultation and engagement with Chile NCP.
Publish What You Pay Australia on behalf of Myanmar-based civil society organisations and Mallee Resources Ltd (formerly Myanmar Metals Ltd)	14-Sep-2021	No	http://mneguidelines.oecd.org/databases/instances/au0022.htm	Click here to enter text.
Andrew and Robert Starkey and an Australian and Sweden based enterprise (defence sector)	28-Sep-2021	No	http://mneguidelines.oecd.org/databases/instances/au0023.htm	
Project Sepik and Jubilee Australia Research Centre on behalf of affected Sepik River communities and PanAust Limited	09-Dec-2021	No		

Marque Lawyers on behalf of affected individuals and Qatar Airways	10-Dec-2021	No		
--	-------------	----	--	--

Additional comments:	
-----------------------------	--

Total number of specific instances:	8
--	---

For all specific instances that were CONCLUDED during the year:

Title	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Link to OECD database if available	Outcomes achieved	Does the final statement plan for a follow up?	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Mr John Podgorelec on behalf of Messrs Andrew and Robert Starkey and ElectraNet	28-Oct-2020	09-Jun-2021	http://mneguidelines.oecd.org/data-base/instances/au0018.htm	Final Statement published. Enterprise did not wish to participate in good offices	Follow-up planned for the future	No.
Friends of the Earth, Egan, Dodds and Simons and ANZ Banking Group	30-Jan-2020	15-Dec-2021	http://mneguidelines.oecd.org/data-base/instances/au0016.htm	Parties did not come to an agreed outcome.	No follow-up	No.

Additional comments:

Total number of specific instances:

2

For all specific instances that were NOT ACCEPTED during the year:

Title	Date received (dd/mm/yyyy)	Link to OECD database if available	Reasons for not accepting the specific instance:	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Port Hedland Community Progress Association Inc and BHP	01-Feb-2020	http://mneguidelines.oecd.org/data-base/instances/au0019.htm	Consideration of the specific issue would not contribute to the purposes and effectiveness of the Guidelines.	No.

Additional comments:

Total number of specific instances:

1

For all specific instances for which FOLLOW UP was performed during the year:

Title	Date concluded <i>(dd/mm/yyyy)</i>	Date of follow up <i>(dd/mm/yyyy)</i>	Was a public follow up statement issued?	Link to the follow up statement, if applicable
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.

Additional comments:	
-----------------------------	--

Total number of specific instances:	Nil.
--	------